

Specific heat of a one-dimensional interacting Fermi system: Role of anomalies

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We revisit the issue of the temperature dependence of the specific heat $C(T)$ for interacting fermions in one dimension. The charge component $C_c(T)$ scales linearly with T , but the spin component $C_s(T)$ displays a more complex behavior with T as it depends on the backscattering amplitude, g_1 , which scales down under renormalization group transformation and eventually behaves as $g_1(T) \sim 1/\log T$. We show, however, by direct perturbative calculations that $C_s(T)$ is strictly linear in T to order g_1^2 as it contains the renormalized backscattering amplitude not on the scale of T , but at the cutoff scale set by the momentum dependence of the interaction around $2k_F$. The running amplitude $g_1(T)$ appears only at third order and gives rise to an extra $T/\log^3 T$ term in $C_s(T)$. This agrees with the results obtained by a variety of bosonization techniques. We also show how to obtain the same expansion in g_1 within the sine-Gordon model.

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I. INTRODUCTION

The hallmark of a Fermi liquid is the linear dependence of the specific heat $C(T)$ on temperature. A deviation from linearity at the lowest temperatures generally implies a non-Fermi-liquid behavior. This generic rule is satisfied in dimensions $D > 1$, e.g., the non-Fermi-liquid behavior near quantum critical points is characterized by a divergent effective mass and sublinear specific heat. On the other hand, the behavior of the best studied non-Fermi-liquids—one-dimensional (1D) systems of fermions—is more subtle. A 1D system of fermions can be mapped onto a system of 1D bosons. As long as these bosons are free, i.e., the system is in the universality class of a Luttinger liquid, the specific heat is linear in T despite that other properties of a system show a manifestly non-Fermi-liquid behavior. However, backscattering and umklapp scattering of original fermions give rise to interactions among bosons. If these interactions are marginally irrelevant, $C(T)$ may acquire an additional $\log T$ dependence.

In a series of recent publications, several groups studied specific heat of interacting Fermi systems in dimensions $1 < D \leq 3$.¹⁻¹⁰ These systems are Fermi liquids, and the leading term is $C(T) = \gamma T$. The subleading term is, however, nonanalytic: it scales as $A_D T^D$ (with an extra $\log T$ factor in three dimensions), and in $1 < D < 3$, the prefactor is expressed exactly via the spin and charge components of the fully renormalized backscattering amplitude^{6,9,10}

$$A_D = -a_D \left(\frac{m^*}{k_F} \right)^2 [f_c^2(\pi) + 3f_s^2(\pi)], \quad (1)$$

where a_D is a number [$a_2 = 3\zeta(3)/2\pi$], and $f_s(\pi)$ and $f_c(\pi)$ are components of the backscattering amplitude $f(\theta = \pi)$ (θ is the angle between the incoming momenta). The spin and charge contributions to the specific heat can be extracted independently by measuring the specific heat at zero and a finite magnetic field (a strong enough magnetic field $\mu_B H$

$\gg T$ reduces the spin contribution to 1/3 of its value in zero field).

As $D \rightarrow 1$, T^D becomes T , and the universal subleading term in the specific heat becomes comparable to the leading term. In addition, the spin component of the backscattering amplitude in one dimension flows under a renormalization group (RG) transformation and, for a repulsive interaction, which is the only case studied in this paper, scales as $1/\log T$ in the limit $T \rightarrow 0$.¹¹ The charge component, $f_c(\pi)$, on the other hand, remains finite. Judging from Eq. (1), one might then expect that the charge component of the specific heat in one dimension scales as T , while the spin component, $C_s(T)$, scales as $T/\log^2 T$.

This simple argument is, however, inconsistent with recent result obtained by Aleiner and Efetov⁹ (AE) for the model of weakly interacting electrons. They developed a powerful “multidimensional bosonization” method, in which fermions are integrated out and the action is expressed solely in terms of interacting, low-energy bosonic modes. In one dimension, AE showed that $C_s(T)$ behaves as $T/\log^3(T)$ for $T \rightarrow 0$ (in disagreement with the RG argument), and that the logarithmic flow of f_s shows up in $C(T)$ only at fourth order in the interaction. Similar results have been previously obtained for the Kondo model¹² and XXZ spin 1/2 chain,¹³ which are believed to be in the same universality class as 1D fermions with repulsive interaction. [Earlier perturbative studies of $C(T)$ in one dimension yielded different results: in Ref. 14, $C(T)$ was argued to be linear in T to all orders in the interaction, whereas Ref. 15 found that $C_s(T)$ scales as $T/\log^2 T$; both results are in disagreement with the result by AE.]

The functional form of $C_s(T)$ is not a purely academic issue. In a strong enough magnetic field $\mu_B H \gg T$, the $\log T$ term is replaced by the $\log H$ one. Measuring the field dependence of $C(T, H)$, one can explicitly determine the functional form of $C(T, H=0)$. We note in passing that the issue of universal temperature corrections to thermodynamic quantities is not restricted to the specific heat. A number of re-

searchers studied the universal temperature and wave vector dependence of the spin susceptibility.¹⁶ Another example of a universal, nonanalytic behavior is the $T\sqrt{H}$ behavior of the specific heat of a two-dimensional (2D) d -wave superconductor in a magnetic field.¹⁷

Absence of the logarithmic renormalization of $C_s(T)$ below fourth order of perturbation is a rather nontrivial result in view of Eq. (1), but even more so because backscattering in one dimension contributes to the specific heat already at first order in the interaction (see Sec. III B). Moreover, both first- and second-order contributions to $C_s(T)$ can be straightforwardly obtained in a computational scheme in which they appear as contributions from low energies, of order T . In the RG spirit, one might expect these terms to contain the running backscattering amplitude at a scale of order T . However, in one dimension, the existence of a particular computational scheme, in which the answer comes from low energies, does not actually guarantee that the corresponding coupling is a running one, as 1D systems with a linear spectrum are well known to exhibit anomalies, similar to Schwinger terms in current-current commutation relations.

From computational viewpoint, the anomaly-type contribution to $C(T)$ can be equally obtained either as a low-energy contribution, or as a contribution from high energies, of the order of the cutoff. In the latter case, the corresponding coupling is on the scale of the cutoff, rather than T . One then has to explicitly evaluate higher-order terms to verify whether the coupling is a bare one or a running one.

This running versus bare coupling dilemma was discussed actively in the earlier days of bosonization,^{18–20} and is related to a more general issue of how to treat properly the high-energy cutoffs in theories with linear dispersions.²¹

Our interest in the 1D problem is threefold. First, we want to understand which of the backscattering couplings entering $C(T)$ are the running ones and which are the bare ones. We argue below that anomaly-type terms should be treated as high-energy contributions, for which the couplings are at the cutoff scale. The running coupling appears in $C(T)$ due to nonanomalous contributions, which can be uniquely identified as low-energy contributions. Second, we would like to check directly whether the low-energy model of interacting fermions in 1D model is a renormalizable theory or not, i.e., whether the dependence of the ultraviolet cutoffs can be incorporated into a finite (and small) number of renormalized vertices. Third, we want to establish parallels between the direct perturbative expansion in the backscattering amplitude in momentum space, and the real-space calculations within the sine-Gordon model. In particular, we want to understand how anomaly-type contributions appear in real-space calculations. This has not been considered in earlier works,^{9,13,22,23} for which the main interest was a search for contributions with the running coupling.

A. Model

We consider an effective low-energy model of 1D fermions with a linearized fermionic dispersion ϵ_k near $\pm k_F$, $\epsilon_k = \pm v_F(k \mp k_F)$, and with a short-range four-fermion interaction $U(q)$. We set a fermionic momentum cutoff at a scale Λ_f

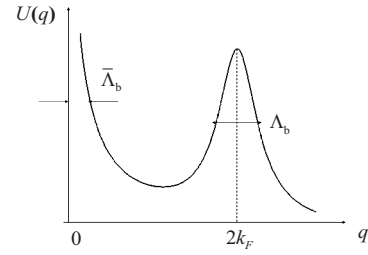


FIG. 1. A model interaction potential with two cutoffs: $\bar{\Lambda}_b$ near $q=0$ and Λ_b near $q=2k_F$.

(generically, comparable to the lattice constant), and assume that fermions with energies larger than $v_F\Lambda_f$ account for the renormalization of the bare interaction into an effective one, which acts between low-energy fermions and depends not only on transferred momentum, but also on two incoming fermionic momenta. We then use the g -ology notations¹¹ and introduce three dimensionless vertex functions, g_1 , g_2 , and g_4 , which describe scattering processes along the Fermi surface with zero incoming and $2k_F$ transferred momenta, zero incoming and zero transferred momenta, and $2k_F$ incoming and zero transferred momenta, respectively. At first order in the interaction, $g_1 = U(2k_F)/2\pi v_F$, and $g_2 = g_4 = U(0)/2\pi v_F$. The effective low-energy model only makes sense if the couplings g_i vanish before the scale of Λ_f ; otherwise, the low-energy and high-energy sectors could not be separated. A way to enforce this constraint, which we will adopt, is to assume that the interactions g_i are nonzero only for transferred momenta (either around zero or $2k_F$), which are smaller than Λ_f . Accordingly, we introduce two “bosonic” cutoffs: Λ_b , set by the interaction with the momentum transfer near $2k_F$ (g_1 vertex), and $\bar{\Lambda}_b$, set by the interaction with a small momentum transfer (g_2 and g_4 vertices), and request that both are smaller than Λ_f . More precisely, we assume that

$$\Lambda_f - \Lambda_b, \Lambda_f - \bar{\Lambda}_b \gg T. \quad (2)$$

The model interaction is shown in Fig. 1. We will see that there is an interesting dependence of the specific heat on the ratio Λ_b/Λ_f , but no dependence on the ratio $\bar{\Lambda}_b/\Lambda_f$.

The two-cutoff model with $\Lambda_b < \Lambda_f$ has been used in the canonical 1D bosonization approach and in the subsequent analysis of the sine-Gordon model. It was also considered in Refs. 18 and 19 in the analysis of the electron-phonon interaction in one dimension. To our knowledge, it has not been explicitly verified that the specific heat for the effective low-energy model is the same as for the original model of fermions with parabolic-type dispersion and a generic interaction $U(q)$, i.e., that all contributions to $C(T)$ from fermionic energies exceeding Λ_f can be absorbed into the three couplings g_i . We also note that, in the bosonization procedure invented by AE (which is not based on the g -ology model), the cutoff imposed by the interaction is less restrictive than the fermionic cutoff (i.e., $\Lambda_b \gg \Lambda_f$), because in their theory the propagators of long-wavelength bosonic modes are obtained by integrating independently over fermionic momenta linked by

the interaction. AE, however, only focused on the truly low-energy terms with the running coupling, which should not depend on the ratio Λ_b/Λ_f .

Vertices g_1 and g_2 in the g -ology model are related to the spin and charge components of the backscattering amplitude

$$f_{\alpha\beta,\gamma\delta}(\pi) = f_c(\pi)\delta_{\alpha\beta}\delta_{\gamma\delta} + f_s(\pi)\vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta}, \quad (3)$$

as $f_c(\pi) = 2g_2 - g_1$ and $f_s(\pi) = -g_1$. Vertex g_4 is related to the forward scattering amplitude $f(0)$ as $g_4 = f_c(0) = -f_s(0)$. For generality, we extend the model from the $SU(2)$ symmetric to anisotropic case, i.e., assume that all three vertex functions g_i ($i=1, 2$, and 4) have different values $g_{i\parallel}$ and $g_{i\perp}$, depending on whether the spins of the fermions in the initial state are parallel or opposite. For the anisotropic case, the spin component of the backscattering amplitude splits into the longitudinal and transverse parts, and we have

$$\begin{aligned} f_c(\pi) &= g_{2\parallel} - g_{1\parallel} + g_{2\perp}, \\ f_{s\parallel}(\pi) &= g_{2\parallel} - g_{1\parallel} - g_{2\perp}, \\ f_{s\perp}(\pi) &= -g_{1\perp}. \end{aligned} \quad (4)$$

The forward scattering vertex g_4 is invariant under RG, but the backscattering vertices g_1 and g_2 flow.^{18,24} Keeping only the processes with momentum transfers in narrow windows near either zero or $2k_F$ (these windows are much smaller than the cutoffs Λ_b and $\bar{\Lambda}_b$), we have

$$\begin{aligned} \frac{dg_{1\parallel}}{dL} &= \beta_{1\parallel}, & \frac{dg_{1\perp}}{dL} &= \beta_{1\perp}, \\ \frac{dg_{2\parallel}}{dL} &= \beta_{2\parallel}, & \frac{dg_{2\perp}}{dL} &= \beta_{2\perp}, \end{aligned} \quad (5)$$

where $L = \log E_f/E$, E is the running energy, and β functions depend on the couplings $g_{1\parallel,\perp}$ and $g_{2\parallel,\perp}$. In the one-loop approximation,

$$\begin{aligned} \beta_{1\parallel} &= -(g_{1\parallel}^2 + g_{1\perp}^2), & \beta_{1\perp} &= -2g_{1\perp}(g_{1\parallel} - g_{2\parallel} + g_{2\perp}), \\ \beta_{2\parallel} &= -g_{1\parallel}^2, & \beta_{2\perp} &= -g_{1\perp}^2. \end{aligned} \quad (6)$$

Reexpressing the couplings in terms of spin and charge components of the backscattering amplitude, we find that the spin amplitudes $f_{s\parallel}$ and $f_{s\perp}$ flow to zero under the RG transformation. The charge component of the backscattering amplitude $f_c(\pi) = (g_{2\parallel} + g_{2\perp}) - g_{1\parallel}$, however, does not change under the RG flow.

For the $SU(2)$ symmetric case, $\beta_1 = -2g_1^2/(1-g_1)$, $\beta_2 = \beta_1/2$, and $g_1(L)$ renormalizes to zero as $1/L$, while $g_2(L)$ tends to a constant value of half of the charge amplitude, which is invariant under RG.

As we said earlier, the key interest of our analysis is to understand at which order within the g -ology model the running couplings appear in the specific heat, and what are the contributions to the specific heat which contain bare couplings.

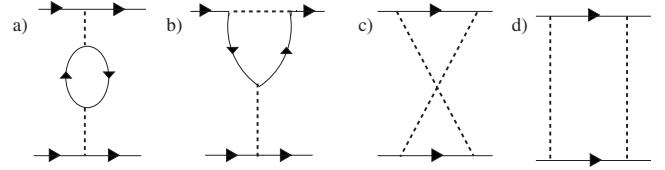


FIG. 2. One-loop diagrams for the interaction vertices. In the RG regime (external momenta are much smaller than Λ_b), the renormalizations of $g_{1\perp}$ and $g_{1\parallel}$ are given by diagrams (a), (b), and (d), while the renormalizations of $g_{2\perp}$ and $g_{2\parallel}$ are given by diagrams (c) and (d). All diagrams give rise to $\log T$ terms. For external momenta of order Λ_b , only diagram (a) gives rise to the logarithmic term $\log(\Lambda_f/\Lambda_b)$, as the two fermions in the particle-hole bubble can have momenta in the whole range between Λ_b and Λ_f . For all other diagrams, the interaction constraints the internal momenta to be of the same order as the external momentum, and there is no momentum space for the logarithm.

B. Results

We first catalog our main results, and then present calculations in the bulk of the paper. We computed $C(T)$ in a direct perturbation theory, expanding in powers of the couplings g_i to order g^3 . To first two orders in g_i , we found that the specific heat is expressed via bare couplings g_2 and g_4 , and the effective backscattering coupling g_1 . At third order, we found an extra contribution to $C(T)$, which comes from low energies and contains a cube of the running backscattering amplitude on the scale of T . Explicitly, for the anisotropic case, we found for $T \ll \Lambda_b$ and neglecting $O(g^3)$ contributions with nonrunning couplings,

$$\begin{aligned} C(T) &= \frac{2\pi T}{3v_F} \left[1 + (\tilde{g}_{1\parallel} - g_{4\parallel}) + (\tilde{g}_{1\parallel} - g_{4\parallel})^2 + g_{4\perp}^2 \right. \\ &\quad + \frac{1}{2} [(g_{2\parallel}^2 + g_{2\perp}^2) - 2g_{2\parallel}\tilde{g}_{1\parallel} + (\tilde{g}_{1\parallel}^2 + \tilde{g}_{1\perp}^2)] \\ &\quad \left. + 3\tilde{g}_{1\perp}^2(T)\tilde{g}_{1\parallel}(T) + \dots \right]. \end{aligned} \quad (7)$$

Here, g_4 and g_2 are the bare couplings, and $\tilde{g}_{1\parallel}$ and $\tilde{g}_{1\perp}$ are the effective couplings on the scale Λ_b . The couplings $\tilde{g}_{1\parallel}(T)$ and $\tilde{g}_{1\perp}(T)$ are running couplings on the scale of T —these are the solutions of the full RG equations, Eq. (5), with effective $\tilde{g}_{1\parallel}$ and $\tilde{g}_{1\perp}$ serving as inputs.

The effective couplings $\tilde{g}_{1\parallel}$ and $\tilde{g}_{1\perp}$ differ from bare $g_{1\parallel,\perp}$ due to random-phase approximation (RPA)-type renormalizations by $2k_F$ particle-hole bubbles made of fermions with momenta between Λ_b and Λ_f . This renormalization comes from Fig. 2(a). There are no such renormalizations for g_2 couplings, which retain their bare values. We obtain

$$\tilde{g}_{1\parallel} = g_{1\parallel} - (g_{1\parallel}^2 + g_{1\perp}^2)L_b + (g_{1\parallel}^3 + 3g_{1\parallel}g_{1\perp}^2)L_b^2, \quad (8a)$$

$$\tilde{g}_{1\parallel}^2 + \tilde{g}_{1\perp}^2 = g_{1\parallel}^2 + g_{1\perp}^2 - 2(g_{1\parallel}^3 + 3g_{1\parallel}g_{1\perp}^2)L_b, \quad (8b)$$

where $L_b = \log(\Lambda_f/\Lambda_b)$.

We emphasize that a RPA-type renormalization is not equivalent to RG, so that effective $\tilde{g}_{1\parallel}$ and $\tilde{g}_{1\perp}$ differ from the solutions of Eqs. (5) and (6). The difference is due to the fact that in the one-loop RG equations, the RPA and ladder-type renormalizations of g_1 , and the ladder renormalizations of g_2 , are all coupled, while only the RPA diagrams lead to L_b terms in the renormalization from g_1 to \tilde{g}_1 .

Coupling between the RPA and ladder renormalizations in the RG regime is absent for the isotropic, $SU(2)$ symmetric case. Then $\tilde{g}_1 = g_1/(1+2g_1L_b)$ becomes equivalent to one-loop RG. Furthermore, in the symmetric case, the running $g_1(L)$ at the lowest energies behaves in the one-loop approximation as $\tilde{g}_1/(1+2\tilde{g}_1L)$. For the specific heat, we then obtain

$$C(T) = \frac{2\pi T}{3v_F} \left[1 + (\tilde{g}_1 - g_4) + (\tilde{g}_1 - g_4)^2 + g_4^2 + \left(g_2 - \frac{1}{2}\tilde{g}_1 \right)^2 + \frac{3}{4}\tilde{g}_1^2 + \frac{3\tilde{g}_1^3}{(1+2\tilde{g}_1L)^3} \right]. \quad (9)$$

The results of our direct perturbative analysis are in agreement with the results for the Kondo problem¹² and XXZ spin chain¹³—for both models, the specific heat was shown to behave as $T/\log^3 T$ at the lowest temperatures. These two models are argued to be in the same universality class as the model of interacting electrons with the interaction in the spin sector. The same behavior was found by Cardy²², and Ludwig and Cardy²³ in their study of a conformally invariant theory perturbed by the marginal perturbation from the fixed point (the sine-Gordon model belongs to this class of theories), and by AE in their multidimensional bosonization analysis. In all these theories, the focus was on the universal terms which are confined to low energies, i.e., are not anomalies. If only such terms are included, the full spin contribution to the specific heat scales as $T/\log^3 T$ in the $SU(2)$ isotropic case, i.e., the spin part of the specific heat coefficient vanishes at $T=0$. Our direct perturbation theory reproduces the same universal behavior in the spin sector, but also generates extra contributions to the specific heat which contain effective interaction on the scale of Λ_b .

To make the comparison with the bosonization and sine-Gordon model explicit, we rewrite our result via spin and charge velocities $v_F u_\rho$ and $v_F u_\sigma$ obtained by diagonalizing the gradient part of the Hamiltonian:

$$u_\rho^2 = (1 + g_{4\parallel} + g_{4\perp} - g_{1\parallel})^2 - (g_{2\parallel} + g_{2\perp} - g_{1\parallel})^2, \\ u_\sigma^2 = (1 + g_{4\parallel} - g_{4\perp} - g_{1\parallel})^2 - (g_{2\parallel} - g_{2\perp} - g_{1\parallel})^2. \quad (10)$$

Using Eq. (10), one can rewrite Eq. (7) as

$$C(T) = \frac{\pi T}{3v_F} \left(\frac{1}{\tilde{u}_\rho} + \frac{1}{\tilde{u}_\sigma} \right) + \frac{\pi T}{3v_F} \tilde{g}_{1\perp}^2 + \frac{2\pi T}{v_F} g_{1\perp}^2(T) g_{1\parallel}(T). \quad (11)$$

The last term in Eq. (11) is the universal contribution from low energies. The first term is the sum of the specific heats of two gases of free particles with the effective velocities \tilde{u}_ρ and \tilde{u}_σ , which are the same as in Eq. (10) except that $g_{1\parallel}$ and $g_{1\perp}$ are now the effective, renormalized vertices. The term in the

middle is an additional contribution from the spin channel. Very likely, this contribution can be absorbed into the renormalization of spin velocity $\tilde{u}_\sigma \rightarrow \tilde{u}_\sigma - \tilde{g}_{1\perp}^2$, i.e., the specific heat can be reexpressed as the sum of the contribution with running couplings, and the specific heat of two ideal gases of fermions with bare charge velocity (albeit with \tilde{g}_1), and the renormalized spin velocity.

In the rest of the paper, we present the details of our calculations. In Sec. II B, we outline the computational procedure, calculate the first-order diagram for the thermodynamic potential, and demonstrate explicitly the sensitivity of the result for $C(T)$ to the ratio of the cutoffs. In Secs. II C and II D, we compute second- and third-order diagrams for the thermodynamic potential, and discuss the fourth-order result. In Sec. III, we analyze the specific heat in the framework of the sine-Gordon model. Section IV presents the conclusions. Some technical details of the calculations are presented in the Appendixes.

II. PERTURBATION THEORY AND THE ROLE OF CUTOFFS

A. Preliminaries

In this and the next two sections, we set $v_F=1$. We restore v_F in the final formulas for the specific heat.

The specific heat of an interacting system of fermions can be extracted from the thermodynamic potential Ξ via $C(T) = -T\partial^2\Xi/\partial T^2$. The thermodynamic potential is given by the Luttinger-Ward formula:

$$\Xi = \Xi^{(0)} - 2T \sum_{\omega} \int \frac{dk}{2\pi} \left[\log(G_0 G^{-1}) - \Sigma G + \sum_{\nu} \frac{1}{2\nu} \Sigma_{\nu} G \right], \quad (12)$$

where

$$\Xi^{(0)} = -2T \sum_{\omega} \int \frac{dk}{2\pi} \left[\frac{1}{2} \log(\epsilon_k^2 + \omega_m^2) \right] \quad (13)$$

is the thermodynamic potential of the free Fermi gas per unit length, $G_0 = (i\omega_m - \epsilon_k)^{-1}$, ϵ_k is the dispersion, $G = (i\omega_m - \epsilon_k + \Sigma)^{-1}$, Σ is the exact (to all orders in the interaction) self-energy, and Σ_{ν} is the skeleton self-energy of order ν , evaluated at finite T . The full self-energy is the sum over ν of Σ_{ν} . Expanding both G and Σ_{ν} in Eq. (11) in powers of the interaction, one generates a perturbative expansion for Ξ in the series of closed diagrams with no external legs.

The free-fermion expression for $C(T)$ is obtained from Eq. (13). At low T , the momentum integration is confined to $k \approx \pm k_F$ and yields

$$\Xi^{(0)} = -T \sum_{\omega_m} |\omega_m| = -\frac{\pi T^2}{3} + \text{const}, \quad (14)$$

such that $C^{(0)}(T) = 2\pi T/3$.

B. First-order diagrams

At first order, the T dependence of Ξ comes from the bubble diagram crossed by the interaction line [diagram (1a)

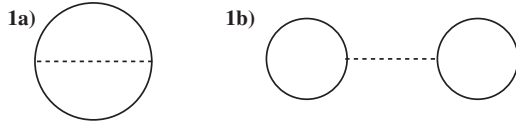


FIG. 3. First-order diagrams for the thermodynamic potential. Here and in the rest of the figures, the dashed line represents the interaction. Diagram (1b) does not contribute to the temperature dependence of the thermodynamic potential.

in Fig. 3]. This diagram contains two contributions: one with a small momentum transfer and another with a momentum transfer near $2k_F$. As spin is conserved along the bubble, the corresponding coupling constants are $g_{4\parallel}$ and $g_{1\parallel}$, respectively.

The safe way to evaluate the diagram is to sum over frequencies first, as the frequency summation is constrained neither by the interaction nor by the fermionic bandwidth, and then integrate over the fermionic momentum k and bosonic, transferred momentum q . We will measure q as a deviation from zero for $g_{4\parallel}$ term, and from $2k_F$ for $g_{1\parallel}$ term, and, as we said, will cut interactions at $|q| = \bar{\Lambda}_b$ for forward scattering process and at Λ_b for backscattering process. We linearize the fermionic dispersion near the Fermi surface and set the cutoff of the integration over k at $|k \pm q/2| \leq \Lambda_f$, $\Lambda_f > \bar{\Lambda}_b, \Lambda_b$.

For small momentum transfer, we then obtain

$$\Xi_{q=0}^{(1)} = \frac{2g_{4\parallel}}{\pi} \int_0^{\bar{\Lambda}_b} dq \int_0^{\Lambda_f - q/2} dk \frac{\cosh \frac{q}{2T}}{\cosh \frac{q}{2T} + \cosh \frac{k}{T}}, \quad (15)$$

and for the momentum transfer near $2k_F$, we obtain

$$\Xi_{2k_F}^{(1)} = \frac{2g_{1\parallel}}{\pi} \int_0^{\Lambda_b} dq \int_0^{\Lambda_f - q/2} dk \frac{\cosh \frac{k}{T}}{\cosh \frac{q}{2T} + \cosh \frac{k}{T}}. \quad (16)$$

Subtracting T -independent terms in Eqs. (15) and (16), and introducing rescaled variables $x = k/T$ and $y = q/(2T)$, we rewrite Eqs. (15) and (16) as

$$\Xi_{q=0}^{(1)} = \frac{2g_{4\parallel}T^2}{\pi} \int_0^{\bar{\Lambda}_b/2T} dy \int_0^{(\Lambda_f/T)-y} dx \frac{\cosh y - \cosh x}{\cosh y + \cosh x} \quad (17)$$

and

$$\Xi_{2k_F}^{(1)} = \frac{2g_{1\parallel}T^2}{\pi} \int_0^{\Lambda_b/2T} dy \int_0^{(\Lambda_f/T)-y} dx \frac{\cosh x - \cosh y}{\cosh x + \cosh y}. \quad (18)$$

We immediately see that the first-order contribution to the thermodynamic potential vanishes if we formally extend the integrals over x and y to infinity. Integrals (17) and (18) are similar to the integrals which give rise to anomalies in the

field theory.²⁵ The integrands are odd under the interchange of x and y ; therefore, universal, cutoff-independent contributions apparently vanish, but the 2D integrals are ultraviolet divergent if we set T to zero. A finite T then sets an ultraviolet regularization of the divergent 2D integral and gives rise to finite terms in Ξ which do not explicitly depend on the cutoffs. By analogy with the field theory, hereafter we refer to these terms as ‘‘anomalies.’’

For definiteness, we focus on the $2k_F$ contribution. Since $\Lambda_f > \Lambda_b$ [in the sense of Eq. (2)], the integration over y extends to a much narrower range than that over x . In this situation, the most natural way to evaluate the thermal part of $\Xi_{2k_F}^{(1)}$ is to reexpress Eq. (18) as

$$\Xi_{2k_F}^{(1)} = -\frac{4}{\pi} g_{1\parallel} T^2 \int_0^{\Lambda_b/2T} dy \cosh y \int_0^{(\Lambda_f/T)-y} \frac{dx}{\cosh x + \cosh y}. \quad (19)$$

The integral over x now converges and, because $\Lambda_f > \Lambda_b$, we can safely set the upper limit of the x integral to infinity. The x integration then can be performed exactly and yields

$$\begin{aligned} \Xi_{2k_F}^{(1)} &= -\frac{4}{\pi} g_{1\parallel} T^2 \int_0^{\Lambda_b/2T} dy y \coth y, \\ &= -\frac{g_{1\parallel} \Lambda_b^2}{2\pi} - \frac{4g_{1\parallel}}{\pi} T^2 \int_0^{\Lambda_b/2T} dy y (\coth y - 1). \end{aligned} \quad (20)$$

The thermal part of $\Xi_{2k_F}^{(1)}$ comes from the second term

$$\Xi_{2k_F}^{(1)} = \text{const} - \frac{\pi}{3} g_{1\parallel} T^2. \quad (21)$$

Observe that the T^2 piece is independent of the cutoff. Furthermore, in this computational procedure, the frequency sums and the momentum integrals are fully ultraviolet convergent, and Eq. (21) comes from small momenta $k, q \sim T \ll \Lambda_f, \Lambda_b$.

Alternatively, however, we can evaluate the integrals in Eq. (18) by integrating over the (dimensionless) bosonic momentum y first. To do this, we neglect y in the upper limit of the integral over x (we will check *a posteriori* that this is justified), and reexpress Eq. (18) as

$$\Xi_{2k_F}^{(1)} = \frac{4g_{1\parallel}}{\pi} T^2 \int_0^{\Lambda_f/T} dx \cosh x \int_0^{\Lambda_b/2T} \frac{dy}{\cosh x + \cosh y}. \quad (22)$$

It is tempting to set the upper limit of the y integral to infinity, as this integral converges. However, one has to be cautious as there is a range of x where $\cosh x > \cosh y$ for any y . To see how this affects the result, we represent the y integral as

$$\begin{aligned}
& \int_0^{\Lambda_b/2T} \frac{dy}{\cosh x + \cosh y} \\
&= \int_0^\infty \frac{dy}{\cosh x + \cosh y} - \int_{\Lambda_b/2T}^\infty \frac{dy}{\cosh x + \cosh y} \\
&= \frac{x}{\sinh x} - \frac{1}{\cosh x} \int_{(\Lambda_b/2T)}^\infty \frac{dy}{1 + e^{y-x}}. \quad (23)
\end{aligned}$$

We replaced $\cosh x$ and $\cosh y$ in the last term by the exponentials, as y are large, and we anticipate typical x to be large as well. The remaining integration is straightforward, and we obtain

$$\Xi_{2k_F}^{(1)} = \text{const} + \frac{\pi}{3} g_{1\parallel} T^2 - \frac{4g_{1\parallel}}{\pi} T^2 \int_0^{\Lambda_b/2T} dx \log(1 + e^{x-\Lambda_b/2T}). \quad (24)$$

The first term is the contribution from low energies—the same as in Eq. (21), but with the opposite sign. The second term, by construction, is the contribution from energies much larger than T . Evaluating the second integral, we find that it also contributes a T^2 term to $\Xi_{2k_F}^{(1)}$:

$$\frac{4g_{1\parallel}}{\pi} T^2 \int_0^{\Lambda_b/2T} dx \log(1 + e^{x-\Lambda_b/2T}) = \text{const} + \frac{2\pi}{3} g_{1\parallel} T^2. \quad (25)$$

The T^2 term in Eq. (25) comes from $x \sim \Lambda_b/2T$. It is essential that these x are smaller than the upper limit of x integration, otherwise such a contribution would not exist. Substituting this back into Eq. (24), we find that the high-energy term is opposite in sign and twice larger than the low-energy one, so that the sum of the two contributions is given precisely by Eq. (21). Going back through the derivation of Eq. (25), we see that typical y and x are near $\Lambda_b/2T$, well below the upper limit of the x integration. In this situation, the neglect of y in the upper limit of the integral over x is legitimate, to accuracy $\exp(-\Lambda_b/T)$.

We see, therefore, that $\Xi_{2k_F}^{(1)}$ can be equally well obtained either as a low-energy contribution or as a high-energy one. This is a hallmark of an anomaly. The same is true also for the forward scattering term $\Xi_{q=0}^{(1)}$: the T^2 term can be equally obtained as a low-energy contribution or as a contribution from energies of order $\bar{\Lambda}_b$.

Combining the results for backscattering and forward scattering, we obtain for the specific heat

$$C^{(1)}(T) = \frac{2\pi T}{3v_F} (g_{1\parallel} - g_{4\parallel}). \quad (26)$$

As we said in the Introduction, the $g_{1\parallel}$ term in Eq. (26) is not present in the standard bosonization approach.¹¹ The argument was that the $g_{1\parallel}$ should only appear in $C(T)$ in the combination $g_{1\parallel} - g_{2\parallel}$ as the two vertices transform into each other by interchanging external momenta without interchanging spins and, therefore, are physically indistinguishable.^{26,27} The first-order diagram with $g_{2\parallel}$ is a Hartree diagram with two bubbles connected by the interac-

tion at exactly zero transferred momentum [diagram (1b) in Fig. 3]. As each of these two bubbles represents a total electron density, this diagram obviously does not depend on T . By the argument above, the diagram with $g_{1\parallel}$ also should not depend on T . This consideration is, however, only valid if the cutoffs are infinite. For finite cutoffs, there is an extra “anomaly-type” contribution, in which $g_{1\parallel}$ appears in combination with $g_{4\parallel}$, as we have just demonstrated.²⁸ A similar reasoning within real-space consideration has been presented in Ref. 29. Another argument for the presence of the $g_{1\parallel}$ term is based on the observation that fermions with the same spin do not interact via a contact interaction; hence, the interaction should drop out of the results in this limit. This implies that the observables, such as $C(T)$, must depend separately on the combinations $g_{4\parallel} - g_{1\parallel}$ and $g_{2\parallel} - g_{1\parallel}$.^{30,31} Equation (26) is consistent with this argument as the limit of a contact interaction, i.e., for $g_{1\parallel} = g_{4\parallel}$, $C^{(1)}(T)$ vanishes.

The interplay between low-energy and high-energy contributions to Ξ can also be understood if one interchanges one momentum integration and one frequency summation, and expresses $\Xi^{(1)}$ via the polarization bubble as

$$\begin{aligned}
\Xi_{q=0}^{(1)} &= -g_{4\parallel} T \sum_{\Omega} \int dq \Pi_{q=0}(q, \Omega), \\
\Xi_{2k_F}^{(1)} &= -g_{1\parallel} T \sum_{\Omega} \int_{-\Lambda_b}^{\Lambda_b} dq \Pi_{2k_F}(q, \Omega). \quad (27)
\end{aligned}$$

The subindices indicate that the momentum integration is confined to q near zero or near $2k_F$.

For brevity, we consider only the backscattering term. The polarization bubble $\Pi_{2k_F}(q, \Omega)$ is given by

$$\begin{aligned}
\Pi_{2k_F}(q, \Omega) &\equiv 2T \sum_{\omega} \int \frac{dk}{2\pi} G_R(\omega + \Omega, k + q) G_L(\omega, k) \\
&\quad + G_L(\omega + \Omega, k + q) G_R(\omega, k) \quad (28a)
\end{aligned}$$

$$\begin{aligned}
&= \frac{1}{2\pi} \left[\log \frac{\Omega^2 + q^2}{4\Lambda_f^2} - 8 \int_0^\infty dk n_F(k) \right. \\
&\quad \left. \times \left(\frac{1}{(q - i\Omega)^2 - 4k^2} + \frac{1}{(q + i\Omega)^2 - 4k^2} \right) \right], \quad (28b)
\end{aligned}$$

where $G_{L,R}(k\omega)$ is the Green's function of right/left moving fermions and $n_F(x)$ is the Fermi function. The first term in Eq. (28b) is the zero-temperature Kohn anomaly, the rest is the thermal contribution. The integration over k gives the result for $\Pi(q, \Omega)$ in terms of di-gamma functions,³² but for our purposes, it is more convenient to use Eq. (28b).

Substituting Eq. (28b) into Eq. (27), we find

$$\Xi_{2k_F}^{(1)} = -g_{1\parallel} (Q + P), \quad (29)$$

where Q and P are the contributions from the Kohn anomaly and from the thermal piece in Eq. (28b), respectively. The temperature-dependent part of the Q term is

$$Q = \frac{1}{2\pi} T \sum_{\Omega} \int_{-\Lambda_b}^{\Lambda_b} dq \log \frac{\Omega^2 + q^2}{4\Lambda_f^2}, \quad (30)$$

and it comes from low energies regardless of whether the sum or the integral is done first. In both cases, we get, up to a constant,

$$Q = Q_L = -\pi T^2/3, \quad (31)$$

where subindex L specifies that this is a contribution from low energies: $\Omega, q \sim T$. The second, thermal, term is determined either by low or by high energies, depending on the order. If the momentum integration is done first, the nonzero result is obtained only because Λ_b is finite; otherwise, the integration contour can be closed in that half-plane where the integrand has no poles. Rearranging the integrals, we rewrite this contribution as

$$\begin{aligned} P &= -\frac{4}{\pi} T \sum_{\Omega} \int_{-\Lambda_b}^{\Lambda_b} dq \int_0^{\infty} dk k n_F(k) \\ &\quad \times \left(\frac{1}{(q-i\Omega)^2 - 4k^2} + \frac{1}{(q+i\Omega)^2 - 4k^2} \right), \\ &= \frac{8}{\pi} T \sum_{\Omega} \int_{\Lambda_b}^{\infty} dq \int_0^{\infty} dk k n_F(k) \\ &\quad \times \left(\frac{1}{(q-i\Omega)^2 - 4k^2} + \frac{1}{(q+i\Omega)^2 - 4k^2} \right). \end{aligned} \quad (32)$$

As the Fermi function in Eq. (28b) confines the fermionic momentum to $k \sim T$, and $q > \Lambda_b$ is large, we can neglect $4k^2$ compared to $(q \pm i\Omega)^2$ in the denominator. This simplifies P to

$$P = \frac{2\pi T^2}{3} T \sum_{\Omega} \int_{\Lambda_b}^{\infty} dq \left(\frac{1}{(q-i\Omega)^2} + \frac{1}{(q+i\Omega)^2} \right). \quad (33)$$

Performing the momentum integration, we obtain

$$P = \frac{4\pi T^2}{3} T \sum_{\Omega} \frac{\Lambda_b}{\Lambda_b^2 + \Omega^2}. \quad (34)$$

Evaluating the integral, we find that P does not depend on the cutoff, and is equal to

$$P = P_H = \frac{2\pi T^2}{3}, \quad (35)$$

where subindex H specifies that this is a contribution from high energies $\Omega, q \sim \Lambda_b$.

Alternatively, P can be evaluated by doing frequency summation first. One can easily check that, to order T^2 , the frequency sum can be replaced by the integral. The frequency integral is nonzero only for $q < 2k$, otherwise the poles in Ω are located in the same half-plane and the frequency integral vanishes. Evaluating the frequency integral and then the integral over q , we reduce P to

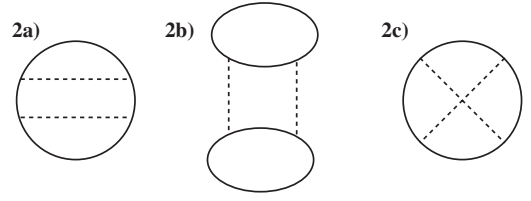


FIG. 4. Second-order diagrams for the thermodynamic potential.

$$P = P_L = \frac{8}{\pi} \int_0^{\infty} dk k n_F(k) = \frac{2\pi T^2}{3}, \quad (36)$$

where subindex L specifies that this a contribution from low energies $\Omega \sim T$. We see that $P_H = P_L$, i.e., the same result for P can be obtained either as a high-energy contribution or as a low-energy one. In both cases, P is formally independent of the cutoff, and the total backscattering part of $\Xi^{(1)}$ is given by

$$\Xi_{2k_F}^{(1)} = \text{const} - g_{\parallel} (Q + P) = \text{const} - \frac{g_{\parallel} \pi}{3} T^2, \quad (37)$$

which coincides with Eq. (21).

Which of the two ways (low energy or high energy) is physically correct? As we discussed in the Introduction, if $\Xi_{2k_F}^{(1)}$ comes from low energies (of order T), one should expect $T \log T$ terms in $C(T)$ already at the next (second) order; on the contrary, if it comes from high energies, no such terms are expected. AE suggested implicitly that the correct procedure is to take the average of two possible orderings, i.e., to represent frequency summation and momentum integration in Eq. (27) as

$$\frac{1}{2} \left(T \sum_{\Omega} \int dq + \int dq T \sum_{\Omega} \right). \quad (38)$$

In this procedure, P in Eq. (32) is a sum:

$$P = \frac{1}{2} P_L + \frac{1}{2} P_H, \quad (39)$$

with $P_H = P_L = \pi T^2/3$. Total $\Xi_{2k_F}^{(1)}$ is the sum of P and Q [see Eq. (37)], where $Q = Q_L = -\pi T^2/3$ comes from low energies. Adding P and Q , we find that the low-energy contributions cancel out, and the net result for $\Xi_{2k_F}^{(1)}$ is the high-energy contribution.

Equation (38), however, contains some ambiguity, as one could equally well can rewrite P as $\alpha P_L + (1-\alpha) P_H$ with an arbitrary coefficient α . Then, the balance between the low- and high-energy contributions to $\Xi^{(1)}$ would depend on α . Whether $\Xi^{(1)}$ contains running or bare coupling g_{\parallel} can only be established by an explicit computation to the next (second) order. This is what we will do in the next section.

C. Second-order diagrams

The second-order diagrams for the thermodynamic potential are shown in Fig. 4. There are two different types of

diagrams, obtained by inserting either self-energy corrections or vertex corrections into the first-order diagram. The diagram with self-energy insertions [diagram (2a)] is readily computed either explicitly or by evaluating first-order self-energy and substituting the result into the first-order diagram. We will not discuss computational steps (they are not qualitatively different from those to first order) and present only the final result: diagram (2a) yields a regular T^2 contribution to Ξ of the form

$$\Xi_{2a}^{(2)} = -\frac{1}{3\pi}T^2(g_{1\parallel} - g_{4\parallel})^2. \quad (40)$$

Next, there are vertex correction diagrams (2b) and (2c), which involve the forward scattering vertex g_4 (small transferred momentum and $2k_F$ total incoming momentum) and g_2 vertex (small transferred and small total incoming momentum). The contributions of order $g_{4\parallel}^2$, $g_{4\perp}^2$, $g_{2\parallel}^2$, and $g_{2\perp}^2$, and also of order $g_{2\parallel}g_{1\parallel}$, are all expressed via the bilinear combinations of the bubbles for right and left movers

$$\begin{aligned} \Pi_{L,R}(q, \Omega) &\equiv T \sum_{\omega} \int \frac{dk}{2\pi} G_{R,L}(k+q, \omega+\Omega) G_{R,L}(k, \omega) \\ &= \pm \frac{1}{2\pi i} \frac{q}{\Omega \mp q}, \end{aligned} \quad (41)$$

whose sum is the total polarization bubble $\Pi_{q=0}(q, \Omega) = \Pi_R(q, \Omega) + \Pi_L(q, \Omega)$. The evaluation of $T \sum_{\Omega} \int dq \Pi_i(q, \Omega) \Pi_j(q, \Omega)$ ($i, j=L, R$) is straightforward, and the result does not depend on the order of momentum and frequency integrations. We have, up to T -independent terms,

$$\begin{aligned} T \sum_{\Omega} \int dq [\Pi_L^2(q, \Omega) + \Pi_R^2(q, \Omega)] \\ = -\frac{1}{\pi^2} T \sum_{\Omega} \int dq \frac{\Omega^2}{\Omega^2 + q^2} \\ = -\frac{1}{\pi} T \sum_{\Omega} |\Omega| = \text{const} + \frac{T^2}{3}, \end{aligned}$$

$$\begin{aligned} T \sum_{\Omega} \int dq \Pi_L(q, \Omega) \Pi_R(q, \Omega) &= -\frac{1}{4\pi^2} T \sum_{\Omega} \int dq \frac{\Omega^2}{\Omega^2 + q^2} \\ &= \text{const} + \frac{T^2}{12}. \end{aligned} \quad (42)$$

These expressions give rise only to regular T^2 terms in the thermodynamic potential and, consequently, to T terms in the specific heat. Collecting combinatorial factors, we find that the contribution from $g_{4\parallel}^2$ cancels out among diagrams (2b) and (2c), while the rest yields

$$\Xi_{\text{reg}}^{(2)} = -\frac{1}{3\pi} T^2 \left[g_{4\perp}^2 + \frac{1}{2} (g_{2\parallel}^2 + g_{2\perp}^2) - g_{2\parallel} g_{1\parallel} \right]. \quad (43)$$

Nontrivial second-order contributions are associated with the vertex corrections due to backscattering amplitude in diagram (2b). These are most easily expressed via the square of the $2k_F$ polarization bubble as

$$\Xi_{2k_F}^{(2)} = -\frac{\pi}{2} T \sum_{\Omega} \int dq (g_{1\parallel}^2 + g_{1\perp}^2) \Pi_{2k_F}^2(q, \Omega). \quad (44)$$

The evaluation of $T \sum_{\Omega} \int dq \Pi_{2k_F}^2(q, \Omega)$, presented in Appendix A, gives

$$T \sum_{\Omega} \int dq \Pi_{2k_F}^2(q, \Omega) = \frac{T^2}{3} (1 - 2L_b), \quad (45)$$

where, we remind, $L_b = \log(\Lambda_f/\Lambda_b)$. Combining Eqs. (44) and (45), we obtain

$$\Xi_{2k_F}^{(2)} = -\frac{\pi T^2}{6} (g_{1\parallel}^2 + g_{1\perp}^2) (1 - 2L_b). \quad (46)$$

The logarithmic term in Eq. (46) is the contribution from the RPA diagram with fermions with energies between Λ_b and Λ_f . One can easily verify that it coincides with first-order logarithmic renormalization of $g_{1\parallel}$, which also comes from the diagram 2b. Indeed, according to Eq. (8a), the effective coupling $\tilde{g}_{1\parallel}$ on the scale Λ_b is, to order g^2 ,

$$\tilde{g}_{1\parallel} = g_{1\parallel} - (g_{1\parallel}^2 + g_{1\perp}^2) L_b. \quad (47)$$

This is precisely what one obtains by combining $\Xi_{2k_F}^{(1)}$ from Eq. (37) and the logarithmic term in $\Xi_{2k_F}^{(2)}$. We see that at low T , the effective $\tilde{g}_{1\parallel}$ is the renormalized coupling on the scale of Λ_b rather than on the scale of T . This implies that the correct way to interpret the anomaly in $\Xi_{2k_F}^{(1)}$ is to treat it as a purely high-energy contribution. This agrees with the ‘‘symmetrized’’ procedure of Eq. (38).

We also emphasize that the nonlogarithmic term in $\Xi_{2k_F}^{(2)}$ is independent of the ratio of the fermionic and bosonic cutoffs. Another way to see this is to adopt a different computational procedure for the backscattering part of diagram (2b). Namely, by virtue of $2k_F$ scattering, two pairs of fermions from different bubbles have nearly equal momenta. Combining these two pairs into two bubbles with small momentum transfers and integrating independently over the two running momenta in these two new bubbles, one can reexpress the g_1^2 contribution via the product of two polarization bubbles with small momentum transfers. This procedure was employed in earlier work for $D > 1$,⁶ and by AE for one dimension. It is justified, however, only when the momentum dependence of the interaction is weak up to the fermionic cutoff, i.e., in a formal limit when $\Lambda_b \gg \Lambda_f$ (which is opposite to what we assume here). Applying this procedure, one can reexpress $\Xi_{2k_F}^{(2)}$ as

$$\begin{aligned} \Xi^{(2)}(2k_F) &= -2\pi (g_{1\parallel}^2 + g_{1\perp}^2) T \sum_{\Omega} \int dq \Pi_L(q, \Omega) \Pi_R(q, \Omega) \\ &= -\frac{\pi T^2}{6} (g_{1\parallel}^2 + g_{1\perp}^2). \end{aligned} \quad (48)$$

This agrees with Eq. (46) without the logarithmic term.

Combining the contributions to $\Xi^{(2)}$ from Eqs. (40), (43), and (45), we obtain for the second-order specific heat

$$\begin{aligned}
 C^{(2)}(T) = & -\frac{2\pi T}{3v_F}(g_{1\parallel}^2 + g_{1\perp}^2)L_b + \frac{2\pi T}{3v_F}[(g_{1\parallel} - g_{4\parallel})^2 + g_{4\perp}^2] \\
 & + \frac{\pi T}{3v_F}[(g_{2\parallel} - g_{1\parallel})^2 + g_{2\perp}^2 + g_{1\perp}^2]. \quad (49)
 \end{aligned}$$

Note that the bilinear combination of g_2 and g_1 in the last term of Eq. (49) is precisely the same combination of the backscattering amplitudes as for the nonanalytic term in $C(T)$ in higher dimensions [cf. Eq. (1)]:

$$(g_{2\parallel} - g_{1\parallel})^2 + g_{2\perp}^2 + g_{1\perp}^2 = \frac{1}{2}[f_c^2(\pi) + f_{s\parallel}^2(\pi) + 2f_{s\perp}^2(\pi)]. \quad (50)$$

We see that, besides the logarithmic term which transforms $g_{1\parallel}$ into $\tilde{g}_{1\parallel}$, the specific heat $C^{(2)}(T)$ also contains the “universal” second-order terms that do not depend on the cutoffs. This poses the same question as before—are those couplings the running ones (on the scale of T) or the bare ones (on the scale of a cutoff)? On one hand, the combination of the second-order g_2 and g_1 terms in $C^{(2)}(T)$ is the sum of the squares of charge and spin components of the backscattering amplitude [Eq. (50)]. As the spin amplitude flows under RG and acquires $\log T$ corrections, one could expect $T \log T$ terms at the next, third order. On the other hand, *all* constant terms in $C^{(2)}(T)$ can be formally represented as non-logarithmic renormalizations of $g_{1\parallel}$ and $g_{4\parallel}$. This renormalization involves the static bubble $\Pi_{q=0}(q, \Omega=0) = T \sum_{\omega} \int dk G(k, \omega) G(k+q, \omega)$, which is an anomaly by itself—it can be viewed as coming from low energies, of order q , if we sum over ω first, or from high energies, of order Λ_f , if we integrate over k first. It is then unclear *a priori* whether the scattering amplitudes in $C^{(2)}(T)$ are the amplitudes on the scale of order T or on the scale of the cutoff. To verify this, we need to compute explicitly third-order diagrams.

D. Third-order diagrams and beyond

1. Third-order diagrams

We analyze the third-order diagrams in two steps. At the first step, we analyze possible logarithmic terms in Ξ at third order, searching for $\log T$ terms and also for terms which contain $\log(\Lambda_f/\Lambda_b)$. We will show that there are no $\log T$ terms at third order, whereas all $\log(\Lambda_f/\Lambda_b)$ can be accounted for by renormalizations of the $g_{1\parallel, \perp}$ vertices. At the second step, we will show that there exists a universal third-order term which starts to flow at the next, fourth order.

a. Logarithmic contributions. Diagrams that potentially contain logarithmic contributions are shown in Fig. 5. Diagram (3a) is expressed via the cube of the polarization bubble at $2k_F$:

$$\Xi_{3a}^{(3)} = -\frac{\pi^2}{3}(g_{1\parallel}^3 + 3g_{1\parallel}g_{1\perp}^2)T \sum_{\Omega} \int dq \Pi_{2k_F}^3(q, \Omega). \quad (51)$$

The computation of $T \sum_{\Omega} \int dq \Pi_{2k_F}^3(q, \Omega)$ is lengthy, and we present it in Appendix B. The result is

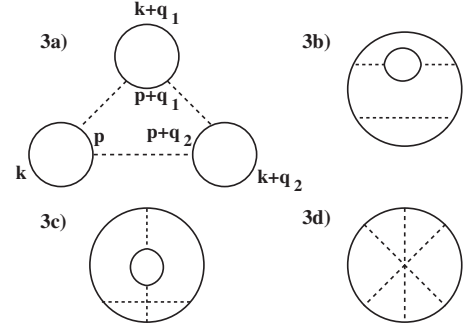


FIG. 5. Third-order diagrams that potentially give logarithmic contributions. In diagram (3a), momenta k and p are counted from $\pm k_F$, respectively.

$$T \sum_{\Omega} \int dq \Pi_{2k_F}^3(q, \Omega) = \frac{T^2}{\pi}(L_b^2 - L_b), \quad (52)$$

where, we remind, $L_b = \log(\Lambda_f/\Lambda_b)$. All potential $\log^2 T$ and $\log T$ terms cancel out, and the only logarithmic dependence left involves the ratio of the cutoffs. Substituting Eq. (52) into Eq. (51), we obtain

$$\Xi_{3a}^{(3)} = -\frac{\pi T^2}{3}(g_{1\parallel}^3 + 3g_{1\parallel}g_{1\perp}^2)(L_b^2 - L_b). \quad (53)$$

Diagram (3b) is a vertex renormalization of the second-order diagram (2a) in Fig. 4. The vertices in diagram (2a) can be both g_1 or one of them can be g_1 and the other one g_4 . The $2k_F$ bubble in diagram (3b) of Fig. 5 is inserted into the g_1 line in both cases. The total result for diagram (3b) is

$$\Xi_{3b}^{(3)} = \frac{2\pi T^2}{3}(g_{1\parallel} - g_{4\parallel})(g_{1\parallel}^2 + g_{1\perp}^2)L_b. \quad (54)$$

Diagram (3c) in Fig. 5 is a vertex renormalization of the second-order diagram (2c) in Fig. 4. One of the lines of the second-order diagram is g_1 and the other one is g_2 . Inserting the $2k_F$ bubble into the g_1 line, we obtain for diagram (3c)

$$\Xi_{3c}^{(3)} = -\frac{\pi T^2}{3}g_{2\parallel}(g_{1\parallel}^2 + g_{1\perp}^2)L_b. \quad (55)$$

Note that only $2k_F$ couplings in $C(T)$ are renormalized. The g_2 coupling in $C(T)$ remains at its bare value. A renormalization of g_2 could potentially come from diagram (3d), but this diagram contains no L_b terms because all internal momenta in this diagram cannot deviate from external momenta by more than Λ_b , i.e., there is no space for the logarithm in momentum integrals.³³ Therefore, the logarithmic part of the third-order specific heat is obtained by combining the results from Eqs. (53)–(55):

$$C^{(3)}(T) = \frac{2\pi T}{3} L_b^2 (g_{\parallel}^3 + 3g_{\parallel}g_{\perp}^2) - \frac{2\pi T}{3} L_b \times \left[g_{\parallel}^3 + 3g_{\parallel}g_{\perp}^2 + 2 \left(g_{\parallel} - g_{4\parallel} - \frac{1}{2}g_{2\parallel} \right) (g_{\parallel}^2 + g_{\perp}^2) \right]. \quad (56)$$

One can easily verify that this $C^{(3)}(T)$ is fully absorbed into first and second order expressions for $C(T)$ if one replaces the bare couplings $g_{\parallel,\perp}$ by their renormalized values $\tilde{g}_{\parallel,\perp}$ as in Eqs. (8a) and (8b). In particular, the L_b^2 term in Eq. (56) accounts for the second-order ladder renormalization of g_{\parallel} [$g_{\parallel} \rightarrow (g_{\parallel}^3 + 3g_{\perp}^2 g_{\parallel}) L_b^2$, see Eq. (8a)] in the first-order specific heat [Eq. (26)]. The L_b terms account for the renormalizations of the g_{\parallel} , g_{\perp} , and $g_{\parallel}^2 + g_{\perp}^2$ terms in $C^{(2)}(T)$ [Eq. (26)] according to

$$g_{\parallel} \rightarrow -(g_{\parallel}^2 + g_{\perp}^2) L_b,$$

$$g_{\perp}^2 \rightarrow -2g_{\parallel}(g_{\parallel}^2 + g_{\perp}^2) L_b,$$

$$g_{\parallel}^2 + g_{\perp}^2 \rightarrow -2(g_{\parallel}^3 + 3g_{\parallel}g_{\perp}^2) L_b,$$

[see Eqs. (8a) and (8b)].

b. Universal contributions. To this end, we have not obtained a term with the running coupling on the scale of T . We now demonstrate how such a term is generated at third order. To do this, we compute the constant, cutoff-independent term in $\Xi^{(3)}$. We will not attempt to calculate this term using Eq. (51), as the calculations are quite involved. Rather, we assume, by analogy with the second-order calculation, that this constant term is independent of the ratio of the cutoffs and can be evaluated in the same computational procedure as the one that led us to Eq. (48), i.e., by reducing the $2k_F$ problem to the small q one and representing the third-order diagrams as the products of two triads. The same procedure was employed by AE.

The relevant diagrams here are diagrams (3a) and (3d). Using the triad method, we obtain for their sum

$$\begin{aligned} \Xi_{\text{sum}}^{(3)} &= \Xi_{3a}^{(3)} + \Xi_{3d}^{(3)} \\ &= -\frac{4}{\pi} g_{\parallel} g_{\perp}^2 T \sum_{\Omega_1} T \sum_{\Omega_2} \int dq_1 \int dq_2 \Pi_3(q_1, q_2, \Omega_1, \Omega_2) \Pi_3 \\ &\quad \times (-q_1, -q_2, \Omega_1, \Omega_2), \end{aligned} \quad (57)$$

where

$$\begin{aligned} \Pi_3(q_1, q_2, \Omega_1, \Omega_2) &= T \sum_{\omega_k} \int dk G_R(k, \omega_k) G_R \\ &\quad \times (k + q_1, \omega_k + \Omega_1) G_R(k + q_2, \omega_k + \Omega_2), \\ \Pi_3(-q_1, -q_2, \Omega_1, \Omega_2) &= T \sum_{\omega_p} \int dp G_L(p, \omega_p) G_L(p + q_1, \omega_p \\ &\quad + \Omega_1) G_L(p + q_2, \omega_p + \Omega_2). \end{aligned} \quad (58)$$

The integration in Eq. (58) is straightforward, as all integrals converge, and we have

$$\begin{aligned} \Pi_3(q_1, q_2, \Omega_1, \Omega_2) &= \frac{1}{2\pi} \left(\frac{i\Omega_2 + q_2}{i\Omega_2 - q_2} - \frac{i\Omega_1 + q_1}{i\Omega_1 - q_1} \right) \\ &\quad \times \frac{1}{i(\Omega_1 + \Omega_2) - (q_1 + q_2)}. \end{aligned} \quad (59)$$

Substituting into Eq. (57), we obtain

$$\begin{aligned} \Xi_{\text{sum}}^{(3)} &= \frac{1}{\pi} g_{\parallel} g_{\perp}^2 \int dq_1 \int dq_2 T \sum_{\Omega_1} T \sum_{\Omega_2} \\ &\quad \times \left(\frac{\Omega_2}{i\Omega_2 - q_2} - \frac{\Omega_1}{i\Omega_1 - q_1} \right) \left(\frac{\Omega_2}{i\Omega_2 + q_2} - \frac{\Omega_1}{i\Omega_1 + q_1} \right) \\ &\quad \times \frac{1}{i(\Omega_1 + \Omega_2) - (q_1 + q_2)} \frac{1}{i(\Omega_1 + \Omega_2) + (q_1 + q_2)}. \end{aligned} \quad (60)$$

The computation of the double momentum integral and frequency sum requires special care. The most straightforward way is to sum over frequencies first, as the frequency sums are not restricted by cutoffs. Performing the summation, and using the symmetry between q_1 and q_2 , we find after some algebra

$$\Xi_{\text{sum}}^{(3)} = \frac{1}{\pi} g_{\parallel} g_{\perp}^2 \int_{-\Lambda_f}^{\Lambda_f} dq_1 \int_{-\Lambda_f}^{\Lambda_f} dq_2 \left(\frac{1}{4} \right). \quad (61)$$

This obviously implies that the momentum integral is confined to high energies, of order Λ_f , and $\Xi_a^{(3)}$ does not contain a T^2 term.

However, this is not the whole story. The new understanding is obtained if we perform computations in different order, by integrating over momentum first. The computation is again lengthy, but straightforward, and yields

$$\Xi_{\text{sum}}^{(3)} = \pi g_{\parallel} g_{\perp}^2 T \sum_{\Omega_1} T \sum_{\Omega_2} (1 - \delta_{\Omega_1,0} \delta_{\Omega_2,0}) F(\Omega_1, \Omega_2, \Lambda_f), \quad (62)$$

where $\delta_{a,b}$ is the Kronecker symbol, and $F(\Omega_1, \Omega_2, \Lambda_f)$ approaches a constant (=1) when frequencies are much smaller than the fermionic cutoff Λ_f . At frequencies comparable and larger than the cutoff, F is rather complex, but the part of F relevant for our purposes is

$$F(\Omega_1, \Omega_2, \Lambda_f) = 1 - \frac{3}{\pi} \left[|\Omega_1| \frac{\Lambda_f}{\Omega_2^2 + \Lambda_f^2} + |\Omega_2| \frac{\Lambda_f}{\Omega_1^2 + \Lambda_f^2} \right]. \quad (63)$$

There are other terms in F , but they do not lead to a T^2 term in $\Xi_{\text{sum}}^{(3)}$.

The double frequency sum in Eq. (62) then reduces to

$$T \sum_{\Omega_1} T \sum_{\Omega_2} \left[1 - \frac{6}{\pi} |\Omega_1| \frac{\Lambda_f}{\Omega_2^2 + \Lambda_f^2} \right] - T^2, \quad (64)$$

where the summation is now over all Matsubara frequencies, including $\Omega_1, \Omega_2 = 0$ (we used the symmetry between Ω_1 and Ω_2). The sum $T \sum_{\Omega_1} T \sum_{\Omega_2} 1$ is confined to large frequencies, and does not lead to T^2 term in $\Xi_a^{(3)}$. If Λ_f were infinite, $-T^2$

would be the only outcome of Eq. (64). For finite Λ_f , one has to be careful as the second term in Eq. (64) cannot be neglected for $\Omega_2 \geq \Lambda_f$. Replacing the sum over Ω_2 by the integral, we obtain that the contribution from the second term in Eq. (64) reduces to $-(3/\pi)T \sum_{\Omega_1} |\Omega_1| = \text{const} + T^2$. Adding this result and the $-T^2$ term in Eq. (64), we find that the T^2 term in $\Xi_a^{(3)}$ vanishes. This agrees with Eq. (61). However, we now see that the vanishing of the T^2 term in $\Xi_a^{(3)}$ is the result of a cancellation between two physically different contributions. The $-T^2$ term in Eq. (64) is a truly low-energy contribution, which survives even if we set $\Lambda_f = \infty$. This T^2 term comes from $\Omega_1 = \Omega_2 = 0$, and from vanishingly small q_1 and q_2 in the momentum integrand. A very similar term leads to a nonanalyticity in the spin susceptibility.⁴ The coupling for this term, $g_{1\perp}^2 g_{1\parallel}$, is then at the low-energy scale ($\sim T$), and should be fully renormalized within RG. On the other hand, the compensating T^2 term comes from large energies, of order Λ_f , and is, therefore, a high-energy contribution. The corresponding coupling is then at the high-energy scale, and it should remain constant under the RG transformation.

As a result, $\Xi_{\text{sum}}^{(3)}$ becomes

$$\Xi_{\text{sum}}^{(3)} = -\pi T^2 [g_{1\perp}^2(L_T) g_{1\parallel}(L_T) - g_{1\perp}^2 g_{1\parallel}], \quad (65)$$

where $g_1(L_T)$ is the $2k_F$ coupling on the scale of T , and g_1 without argument is the coupling at the cutoff scale.

The low-energy contribution in Eq. (65) coincides with the result obtained by AE (modulo a factor of 2). AE did not evaluate the high-energy contribution in Eq. (65). We did not attempt to obtain $\Xi_a^{(3)}$ for an arbitrary ratio of Λ_b and Λ_f . We expect that the low-energy contribution is independent of the ratio of the cutoffs. At the same time, the high-energy term in Eq. (65) may depend on the ratio of the cutoffs, i.e., the (-1) factor between low-energy and high-energy contributions in Eq. (65) may only hold for $\Lambda_b > \Lambda_f$, when the “triad” calculation is valid. In any event, the high-energy term in Eq. (65) is a regular T^2 term and is, therefore, of little interest.

For completeness, we also note that there exists another high-energy contribution of order $g_{1\perp}^2 g_{1\parallel}$, obtained by inserting the first-order renormalization of the Fermi velocity into the second-order backscattering diagram. This contribution can be easily evaluated in the same way as Eq. (65) and yields

$$\Xi_{\text{extra}}^{(3)} = -\pi T^2 g_{1\perp}^2 g_{1\parallel}. \quad (66)$$

If Eq. (65) is independent of the ratio of the cutoffs, the high-energy terms in Eqs. (65) and (66) cancel each other, i.e., the net result is only low-energy contribution. This cancellation is likely accidental, however.

Assembling logarithmic and universal constant term at third order, evaluating the specific heat, combining with first- and second-order diagrams, and using the RG flow of the couplings, we obtain the full result for the specific heat $C(T)$, Eqs. (7) and (9).

2. Fourth-order diagrams

For completeness, we also computed explicitly the fourth-order, four-bubble backscattering diagram for the thermodynamic potential. We, indeed, found a $T^2 \log T$ term obtained

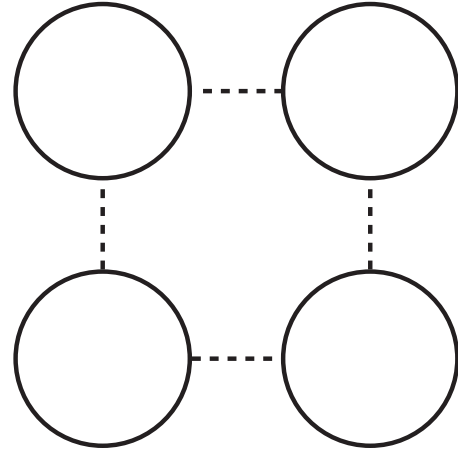


FIG. 6. Fourth-order diagram with four bubbles.

by combining the “zero-energy” contribution to $\Xi_{\text{sum}}^{(3)}$ [Eq. (65)] with an additional polarization bubble $\Pi_{2k_F}^{(3)}(0,0) \propto \log T$. This one accounts for the $\log T$ renormalization of the running couplings $g_{1\perp}(L_T)$ and $g_{1\parallel}(L_T)$ in Eq. (65). We searched for possible other $T^2 \log T$ contributions, using the same method as that at the end of the previous section. Namely, we assumed that $T^2 \log T$ terms must be independent of the cutoff ratio, set $\Lambda_b > \Lambda_f$, and created two “quaternions” by assembling four fermionic propagators with close momenta $k \approx k_F, k+q_1, k+q_2, k+q_3$, and $p \approx -k_F, p+q_1, p+q_2, p+q_3$, $|q_i| \ll k_F$ (see Fig. 6). We integrated independently over k and p in infinite limits (we recall that this is possible only if the cutoff imposed by the interaction is irrelevant), then integrated over q_i and summed over corresponding frequencies. We found $T^2 \log T$ terms from particular regions of frequency summations and integrations over three bosonic momenta q_1, q_2 , and q_3 ; however, all such $T^2 \log T$ terms cancel out. Therefore, the only nonvanishing $T^2 \log T$ contribution at fourth order is the zero-energy one. All T^2 terms in Ξ up to fourth order are anomalies, and corresponding couplings are at energies $O(\Lambda)$.

III. COMPARISON TO THE SINE-GORDON MODEL

A. Model

A well-established way to treat the system of 1D fermions is bosonization, which allows one to map the original problem onto the quantum sine-Gordon model. It is instructive to see how the results of the previous sections can be obtained within this model. We bosonize the operators of right- and left-moving fermions, R_α and L_α , in a standard way

$$R_\alpha(x), L_\alpha(x) = \frac{1}{\sqrt{2\pi a}} \exp\{\pm i[\phi_\alpha(x) \mp \theta_\alpha(x)]\}, \quad \alpha = \uparrow, \downarrow,$$

where a is a short-distance cutoff related to the momentum cutoff introduced in the previous sections via $a = \Lambda_f^{-1}$. Upon bosonization, the part of the fermionic Hamiltonian parametrized by couplings g_4 and g_2 is mapped onto the Gaussian part of the bosonic Hamiltonian, $H_G = H_G^{(p)} + H_G^{(s)}$, where

$$H_G^{(\rho,\sigma)} = \frac{1}{2} \int dx (1 + g_{4\parallel} \pm g_{4\perp} + g_{2\parallel} \pm g_{2\perp}) (\partial_x \phi_{\rho,\sigma})^2 \\ + (1 + g_{4\parallel} \pm g_{4\perp} - g_{2\parallel} \mp g_{2\perp}) (\partial_x \theta_{\rho,\sigma})^2, \quad (67)$$

and the charge and spin bosons are defined as $\phi_{\rho,\sigma} = (\phi_{\uparrow} \pm \phi_{\downarrow})/\sqrt{2}$ and $\theta_{\rho,\sigma} = (\theta_{\uparrow} \pm \theta_{\downarrow})/\sqrt{2}$. The $2k_F$ scattering, however, leads to nonlinear, cosine terms in the bosonic Hamiltonian. For a local, delta-function interaction, the cosine term only comes from $2k_F$ scattering of fermions with opposite spins (coupling $g_{1\perp}$). However, for an arbitrary, nonlocal interaction, there is also a cosine term which comes from $2k_F$ scattering of fermions with parallel spins (coupling $g_{1\parallel}$). Introducing a finite-range interaction $V_{12} \equiv V(x_1 - x_2)$, we map the $2k_F$ part of the fermionic Hamiltonian onto

$$H_{1\parallel,\perp} = \frac{2}{(2\pi a)^2} \int \int dx_1 dx_2 V_{12} \cos\{\sqrt{2\pi}[\phi_{\rho}(x_1) - \phi_{\rho}(x_2)] \\ + 2k_F(x_1 - x_2)\} \cos\{\sqrt{2\pi}[\phi_{\sigma}(x_1) \mp \phi_{\sigma}(x_2)]\}. \quad (68)$$

For a local interaction, $V_{12} = V_0 \delta(x_1 - x_2)$, Eq. (68) reduces to the usual sine-Gordon model.

The universal g_1^3 term in the thermodynamic potential [the analog of the universal term in Eq. (65) for the SU(2) symmetric case] was obtained by Cardy²² and Ludwig and Cardy²³ for a general case of a conformal theory perturbed about a fixed point by a marginally irrelevant operator, and we just refer the reader to that work. The first- and second-order terms in g_1 , however, have not been obtained explicitly in the sine-Gordon model before. Our goal is to demonstrate how the anomalous terms of order g_1 and g_1^2 appear in the thermodynamic potential, and, in particular, how the g_1 coupling gets a logarithmic renormalization on a scale of the bosonic cutoff in this model. We will see that to get this renormalization, and also to obtain g_1^2 term with a correct prefactor, one *must* consider a finite-range interaction and keep the range of the interaction larger than the short-distance cutoff of the theory.

The thermodynamic potential per unit length is given by

$$\Xi = -\frac{T}{L} \log \int D\phi \exp(-[S_G + S_{1\parallel} + S_{1\perp}]), \quad (69)$$

where S_a , with $a = G, 1\parallel$, and $1\perp$, are the actions corresponding to the Gaussian and $2k_F$ parts of the bosonic Hamiltonian, respectively. Expansion in $S_{1\parallel} + S_{1\perp}$ generates perturbation series for Ξ . In the absence of backscattering ($g_1 = 0$), the bosons are free and theory is exactly solvable for arbitrary g_2 and g_4 . One can then construct the perturbation theory in $g_{1\parallel}$ and $g_{1\perp}$ about the free-boson point. To make a connection with the previous sections, however, we will perform the perturbative expansion in all coupling constants rather than only in g_1 . This means that the averages generated by an expansion in $S_{1\parallel}$ and $S_{1\perp}$ will be calculated over a free Gaussian action, Eq. (67) with $g_4 = g_2 = 0$.

B. First order

The first-order term is obtained by expanding the exponential in Eq. (69) to first order in $S_{1\parallel}$. Performing the averaging, we obtain

$$\Xi^{(1\parallel)} = 2 \int_{|x| \geq a} dx V(x) A_{\rho}(x, 0) A_{\sigma}(x, 0) \cos(2k_F x), \quad (70)$$

where

$$A_{\rho,\sigma}(x, \tau) = \frac{1}{\pi a} \langle e^{i\sqrt{2\pi}[\phi_{\rho,\sigma}(x,\tau) - \phi_{\rho,\sigma}(0,0)]} \rangle. \quad (71)$$

As the averages are calculated over a free Gaussian action, A_{ρ} and A_{σ} are equal to each other and given by¹¹

$$A_{\rho}(x, \tau) = A_{\sigma}(x, \tau) = \left[\frac{1}{4 \sinh^2 \pi x T + \sin^2 \pi \tau T} \right]^{1/2}. \quad (72)$$

The $2k_F$ polarization bubble in the x, τ space is

$$\Pi_{2k_F}(x, \tau) = -A_{\rho,\sigma}^2(x, \tau) = -\frac{1}{4 \sinh^2 \pi x T + \sin^2 \pi \tau T}. \quad (73)$$

Therefore, the first-order result reduces to

$$\Xi^{(1\parallel)} = -2 \int dx V(x) \Pi_{2k_F}(x, 0) \cos(2k_F x). \quad (74)$$

Note that this is nothing more than the first-order diagram (1a) in Fig. 3, written in the x, τ space. Expanding $\Pi_{2k_F}(x, 0)$ for $x \ll T^{-1}$, we obtain

$$\Pi_{2k_F}(x, 0) = -\frac{T^2}{4 \sinh^2 \pi x T} = -\frac{1}{4\pi^2 x^2} + \frac{1}{12} + \dots \quad (75)$$

The universal, constant term in $\Pi_{2k_F}(x, 0)$ gives a T -dependent part of $\Xi_{2k_F}^{(1)}$:

$$\Xi^{(1\parallel)} = \text{const} - \frac{\pi}{3} g_{1\parallel} T^2, \quad (76)$$

where $g_{1\parallel} = (1/2\pi) \int dx V(x) \cos[2k_F x]$. We see that the bosonization result [Eq. (76)] agrees with the diagrammatic one [Eq. (21)] obtained for $\Lambda_b < \Lambda_f$. This last condition is implicit in bosonization, as Eq. (73) is valid only if the fermionic cutoff exceeds the bosonic one. Notice that the final result Eq. (76) is formally valid also for a local interaction. However, the limit of a local interaction cannot be taken at the very beginning. Indeed, in this limit, $S_{1\parallel}$ reduces to a constant and does not contribute to the T dependence of Ξ .

Gaussian form of $H_{1\parallel}$

The $g_{1\parallel}$ term in the specific heat can also be obtained by reducing $H_{1\parallel}$ in Eq. (68) to the Gaussian form, similar to what was done in Ref. 29 for spinless fermions. For completeness, we repeat this derivation here for fermions with spin. Indeed, $H_{1\parallel}$ can be written as the convolution of the $2k_F$ components of the density

$$H_{1\parallel} = \frac{1}{2} \sum_{\alpha=\uparrow,\downarrow} \int dx_1 \int dx_2 V_{12} \rho_{2k_F,\alpha}(x_1) \rho_{2k_F,\alpha}(x_2), \quad (77)$$

where $\rho_{2k_F,\alpha}(x) = R_{\alpha}(x) L_{\alpha}^{\dagger}(x) e^{2ik_F x} + \text{H.c.} = (e^{2i\sqrt{\pi}\phi_{\alpha}(x)} e^{2ik_F x} + \text{H.c.})/2\pi a$. Performing normal ordering in the product of

two exponentials of the bosonic field and Taylor expanding the difference $\phi_\alpha(x_1) - \phi_\alpha(x_2)$ under the normal-ordering sign, one obtains

$$e^{2i\sqrt{\pi}\phi_\alpha(x_1)}e^{-2i\sqrt{\pi}\phi_\alpha(x_2)} =: e^{2i\sqrt{\pi}[\phi_\alpha(x_1) - \phi_\alpha(x_2)]} : \exp[-4\pi([\phi_\alpha(x_1) - \phi_\alpha(x_2)]^2)] =: 1 - 2\pi(\partial_x\phi_\alpha)^2(x_1 - x_2)^2 + \dots : \frac{a^2}{(x_1 - x_2)^2}$$

$$= c - \text{number} - 2\pi a^2(\partial_x\phi_\alpha)^2.$$

At the level of operators, $H_{1\parallel}$ then reduces to

$$H_{1\parallel} = -g_{1\parallel} \sum_\alpha \int dx (\partial_x \phi_\alpha)^2.$$

Combining this result with the Gaussian part of the Hamiltonian, we obtain a new effective Hamiltonian

$$H_G^* = \frac{1}{2} \sum_{\nu=\rho,\sigma} \int_x (u_\nu K_\nu)(\partial_x \phi_\nu)^2 + (u_\nu K_\nu)(\partial_x \theta_\nu)^2,$$

where the charge and spin velocities are the same as in Eq. (10) and

$$K_{\rho,\sigma} = \left(\frac{1 + g_{4\parallel} \pm g_{4\perp} - g_{2\parallel} \mp g_{2\perp}}{1 + g_{4\parallel} \pm g_{4\perp} + g_{2\parallel} \pm g_{2\perp} - 2g_{1\parallel}} \right)^{1/2}. \quad (78)$$

The specific heat, corresponding to H_G^* , is given by the first term in Eq. (11). Notice that, in contrast to conventional bosonization which treats the $g_{1\parallel}$ interaction only as an exchange process to the $g_{2\parallel}$ interaction and, therefore, contains only a combination of $g_{2\parallel} - g_{1\parallel}$, the parameter $K_{\rho,\sigma}$ in Eq. (78) contain two combinations: $g_{2\parallel} - g_{1\parallel}$ and $g_{4\parallel} - g_{1\parallel}$.

C. Second order

We next demonstrate how the renormalization of $g_{1\parallel}$ occurs in the bosonic language, and how the universal term in Ξ with $g_{1\perp}^2$ emerges within the sine-Gordon model. Expanding Eq. (69) to order $S_{1\perp}^2$ and performing the averaging, we obtain the second-order piece in Ξ :

$$\Xi^{(2)} = - \int dx_1 \int dx_2 \int dx_3 V_{13} V_{23} \cos[2k_F(x_1 - x_2)] J_\tau(x_1, x_2), \quad (79)$$

where

$$J_\tau(x, x') = \int_0^{1/T} d\tau \Pi_{2k_F}(x, \tau) \Pi_{2k_F}(x', \tau), \quad (80)$$

and all spatial integrals are cut at small distances by a . Again, this is nothing more than the two-bubble diagram (2b) in Fig. 4, written in the x, τ space. The integration over τ is readily performed

$$J_\tau(x, x') = \frac{T^3}{4} \frac{\coth[\pi T(|x| + |x'|)]}{\sinh(2\pi T|x|)\sinh(2\pi T|x'|)}.$$

There are two contributions to the T^2 term in $\Xi^{(2)}$: one comes from large distances $|x| \sim |x'| \sim T^{-1}$ and another one comes from distances of the order of the interaction range. For the

first contribution, the requirement that the potential must have a finite range is irrelevant, and the interaction in Eq. (79) can be safely replaced by the delta-function $V(x) = 2\pi g_{1\perp} \delta(x)$. We then obtain

$$\Xi_a^{(2)} = -2\pi^2 g_{1\perp}^2 T^3 \int_a^\infty dx \frac{\coth(2\pi T x)}{\sinh^2(2\pi T x)}$$

$$= -\frac{\pi}{2} g_{1\perp}^2 \frac{T^2}{\sinh^2(2\pi T a)}. \quad (81)$$

Expanding the last result for $Ta \ll 1$, we obtain

$$\Xi_a^{(2)} = \text{const} + \frac{\pi}{6} g_{1\perp}^2 T^2. \quad (82)$$

This contribution is of the same magnitude but opposite in sign to the cutoff-independent part of Ξ in Eq. (46). As the second contribution is expected to come from distances smaller than T^{-1} , we expand Eq. (80) for $T \rightarrow 0$ and keep only the T -dependent term

$$J_\tau(x, x') = -\frac{T^2}{48\pi} \frac{(|x| - |x'|)^2}{|x||x'|(|x| + |x'|)}.$$

Introducing new variables $\xi = x - x'$ and $\eta = (x + x')/2$ and performing elementary integrations, the resulting contribution to Ξ can be represented as a sum of two terms

$$\Xi_b^{(2)} = \Xi_+ + \Xi_-,$$

$$\Xi_+ = \frac{T^2}{12\pi} \int_{2a}^\infty d\eta W(\eta) \cos(2k_F \eta) F_+(\eta),$$

$$\Xi_- = \frac{T^2}{12\pi} \int_0^\infty d\xi W(\xi) \cos(2k_F \xi) F_-(\xi), \quad (83)$$

where

$$F_+(\eta) = \log \frac{\eta - a}{a} - 2 + 4 \frac{\eta}{a}, \quad F_-(\xi) = \log \frac{(\xi/2 + a)}{a(\xi + a)}, \quad (84)$$

and $W(x) = \int dy V(x+y)V(y)$. The universal, cutoff-independent part of $\Xi_b^{(2)}$ comes from the constant term (-2) in the function $F_+(\eta)$. It is of the opposite sign and twice larger than the contribution in Eq. (82). Combining these two contributions together, we obtain for the universal part of Ξ

$$\Xi_{\text{univ}}^{(2)} = -\frac{\pi}{6} g_{1\perp}^2 T^2.$$

The remainder of Ξ is a cutoff-dependent part. To calculate this part, we consider two model interactions. The first one is consistent with the assumption used in the previous sections (and also in g -ology, in general) that the backscattering part of the interaction is peaked near $2k_F$, i.e., the interaction oscillates in real space with period π/k_F . A model which describes this behavior is

$$V(x) = g_{1\perp} \frac{2b}{x^2 + b^2} \cos(2k_F x).$$

The scale b is equal to the bosonic cutoff Λ_b^{-1} introduced earlier. The assumption $\Lambda_b \ll \Lambda_f$ corresponds to the condition $b \gg a$. Expanding functions F_{\pm} for $\xi, \eta \gg a$ and neglecting the exponentially small terms [of order $\exp(-2k_F b)$] as well as terms proportional to powers of a , we arrive at

$$\Xi_{\text{nonuniv}}^{(2)} = \frac{4T^2}{3} g_{1\perp}^2 b \int_0^{\infty} \frac{d\xi}{\xi^2 + (2b)^2} \log \frac{\xi}{2a} = \frac{\pi T^2}{3} g_{1\perp}^2 \log \frac{b}{a},$$

where we used that $\int_0^{\infty} dx \log x / (1+x^2) = 0$. Combining the universal and nonuniversal parts together, we obtain

$$\Xi^{(2)} = -\frac{\pi}{3} g_{1\perp}^2 T^2 \left(1 - 2 \log \frac{b}{a} \right), \quad (85)$$

which coincides with Eq. (46) upon identifying $\log \frac{b}{a} = L_b$.

We see that the logarithmic renormalization of the backscattering coupling is reproduced within the sine-Gordon model. However, this result could not be obtained for a local interaction. The interaction must have a finite range, which is larger than the short-distance cutoff in the theory.

Another model, which we consider for completeness, corresponds to a long-range potential, i.e., to an interaction peaked near $q=0$ in the momentum space. To describe this situation, we choose

$$V(x) = \frac{u}{\pi} \frac{b}{x^2 + b^2},$$

and assume that $b \gg a \sim k_F^{-1}$, so that the $2k_F$ component of the potential $V(2k_F) = u \exp(-2k_F b)$ is exponentially small. Such interaction is not considered in the g -ology, and we will not express its parameters in terms of g couplings. If backscattering is neglected completely, the problem is exactly soluble either via bosonization or Dzyaloshinskii-Larkin diagrammatic formalism.^{34,35} It turns out that, somewhat surprisingly, corrections to the exact solution are small not exponentially but only algebraically, in parameter $1/k_F b$. The reason is that logarithmic terms in functions F_{\pm} [cf. Eq. (84)], which reflect correlations in motion of free fermions, introduce branch cuts into the integrals. The contribution of these branch cuts to the result is much larger than the exponentially small contribution of the poles in the interaction potential. Evaluating the integrals and keeping only the leading terms, we arrive at

$$\Xi^{(2)} = \frac{T^2}{48\pi^2} u^2 \left[\frac{\sin 4k_F a}{bk_F} \ln \frac{2eb}{a} - \frac{\cos 4k_F a}{2k_F^2 ab} + O\left(\frac{1}{k_F^4 a^2 b^2}\right) \right],$$

where $e=2.718\dots$. The universal term, which is proportional to the $2k_F$ component of the interaction, is exponentially small, and we do not retain it here.

In the opposite case of a short-range interaction, i.e., for $b \ll a \sim k_F^{-1}$, $\Xi^{(2)}$ is given entirely by the universal term

$$\Xi^{(2)} = -\frac{u^2}{12\pi} T^2.$$

IV. CONCLUSIONS

In conclusion, we performed a detailed analysis of the temperature dependence of the specific heat for a 1D interacting Fermi system. We used the g -ology model and carried out a perturbative expansion in the couplings in the fermionic language. We have shown that, to first two orders in the interactions, the specific heat is expressed in terms of the nonrunning couplings in the RG sense. The g_4 and g_2 vertices appearing in $C(T)$ are just bare vertices, while the backscattering g_1 vertex is the effective one, renormalized by fermions with momenta between fermionic and bosonic cut-offs. The running backscattering amplitude on the scale of T appears in the specific heat only at third order in perturbation theory. The $\log T$ renormalization of the specific heat at the lowest T , expected from the RG flow of the coupling constants, then only occurs at the fourth order in the perturbation theory, and the T dependence of the specific heat follows the RG flow of the cube of the backscattering amplitude, in agreement with previous studies. We explicitly demonstrated that the absence of the logarithmic corrections below fourth order is due to cancellation of $\log T$ terms coming from low energies, of order T , and high energies, of the order of the ultraviolet cutoffs in the theory. We also showed how the diagrammatic results can be obtained within the sine-Gordon model.

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APPENDIX A

In this Appendix, we derive Eq. (45) for $X \equiv T \sum_{\Omega} \int dq \Pi_{2k_F}^2(q, \Omega)$. The polarization operator $\Pi_{2k_F}(q, \Omega)$ is given by Eq. (28b). It is convenient to split X into three terms $X = X_1 + X_2 + X_3$ as

$$X_1 = T \sum_{\Omega} \int_0^{\Lambda_b} \frac{dq}{2\pi^2} \log^2 \frac{\Omega^2 + q^2}{4\Lambda_f^2},$$

$$X_2 = -\frac{8}{\pi^2} T \sum_{\Omega} \int_0^{\Lambda_b} dq \log \frac{\Omega^2 + q^2}{4\Lambda_f^2} \int_0^{\infty} dx dk n_F(k) \times \left(\frac{1}{(q - i\Omega)^2 - 4k^2} + \frac{1}{(q + i\Omega)^2 - 4k^2} \right),$$

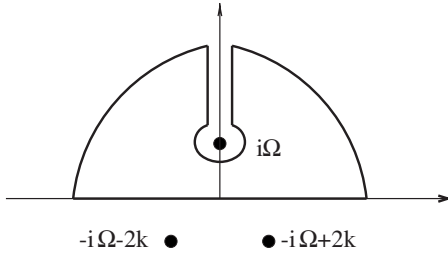


FIG. 7. Integration contour for Eq. (A5).

$$X_3 = \frac{32}{\pi^2} T \sum_{\Omega} \int_0^{\Lambda_b} dq \left[\int_0^{\infty} dk kn_F(k) \left(\frac{1}{(q-i\Omega)^2 - 4k^2} + \frac{1}{(q+i\Omega)^2 - 4k^2} \right) \right]^2. \quad (\text{A1})$$

As we are only interested in a finite T contribution, we can safely subtract $T \sum_{\Omega} \int_0^{\infty} \frac{dq}{2\pi^2} \log^2 \frac{q^2}{4\Lambda_f^2}$ from X_1 . The rest is ultraviolet convergent, and we can integrate explicitly over q by setting the upper limit of the q integral to infinity. We obtain

$$X_1 = \frac{2}{\pi} T \sum_{\Omega} |\Omega| \left[\log \frac{|\Omega|}{2\Lambda_f} - 1 + \log 2 \right]. \quad (\text{A2})$$

Using

$$T \sum_{\Omega} |\Omega| = -\frac{\pi T^2}{3},$$

$$T \sum_{\Omega} |\Omega| \log |\Omega| = -\frac{\pi T^2}{3} \log T + \frac{\pi T^2}{6} - \frac{2T^2}{\pi} I_1,$$

$$I_1 = \int_0^{\infty} \frac{x^2 \log 2x}{\sinh^2 x} = 0.5803, \quad (\text{A3})$$

we obtain

$$X_1 = \frac{2T^2}{3} \left[-\log \frac{T}{2\Lambda_f} + B \right], \quad (\text{A4})$$

where $B = \frac{3}{2} - \log 2 - \frac{6}{\pi} I_1 = 0.454$. The second term, X_2 , contains contributions both from small q , of order T , and from large q , of order Λ_b . It is convenient to split the momentum integral $\int_0^{\Lambda_b}$ into $\int_0^{\infty} - \int_{\Lambda_b}^{\infty}$. The first integral can be easily converted into the integral over the whole real q axis. The poles in q at any finite Ω are located in the same half-plane, and the q integral is nonzero only because of the branch cut in the logarithm. Choosing the integration contour as shown in Fig. 7, evaluating the momentum integral, performing the frequency sum, and adding a separate contribution from $\Omega=0$, we obtain

$$-\frac{8}{\pi^2} T \sum_{\Omega} \int_0^{\infty} dq \log \frac{\Omega^2 + q^2}{4\Lambda_f^2} \int_0^{\infty} dk kn_F(k) \left(\frac{1}{(q-i\Omega)^2 - 4k^2} + \frac{1}{(q+i\Omega)^2 - 4k^2} \right) = \frac{2T^2}{3} \left[\log \frac{T}{2\Lambda_f} - B - (0.5 + \log 2) \right]. \quad (\text{A5})$$

The integral over large $q > \Lambda_b$ involves also large frequencies $\Omega \sim q$, and the frequency sum can be safely replaced by the integral. Typical fermionic momenta, k , are of order T and, therefore, much smaller than q and Ω . Neglecting k in the denominators of the integrand, and performing three independent integrations (over k , Ω , and q), we obtain

$$\begin{aligned} & \frac{8}{\pi^2} T \sum_{\Omega} \int_{\Lambda_b}^{\infty} dq \log \frac{\Omega^2 + q^2}{4\Lambda_f^2} \int_0^{\infty} dk kn_F(k) \left(\frac{1}{(q-i\Omega)^2 - 4k^2} + \frac{1}{(q+i\Omega)^2 - 4k^2} \right) \\ &= \frac{8}{\pi^3} \int_0^{\infty} dk kn_F(k) \int d\Omega \int_{\Lambda_b}^{\infty} dq \frac{1}{(q-i\Omega)^2} \\ &= -\frac{2T^2}{3} \left[\log \frac{2\Lambda_f}{\Lambda_b} - \left(\frac{1}{2} + \log 2 \right) \right]. \end{aligned} \quad (\text{A6})$$

Combining the two contributions, we obtain

$$X_2 = \frac{2T^2}{3} \left[\log \frac{T}{2\Lambda_f} - \log \frac{2\Lambda_f}{\Lambda_b} - B \right]. \quad (\text{A7})$$

In the third term, X_3 , the 2D integral over q and Ω is ultraviolet convergent, and we can safely set the upper limit of the q integral to infinity. The integral again has separate contributions from $\Omega \neq 0$ and from $\Omega=0$. The contribution to X_3 from finite frequencies is evaluated straightforwardly by closing the contour of the q integral in the upper or lower half-plane. We obtain $(T^2/3)(7 - 10 \log 2)$. The evaluation of the contribution from $\Omega=0$ requires special care because of the poles which are avoided by replacing Ω by $i\delta$. The corresponding contribution to Ξ_3 becomes

$$\begin{aligned} & \frac{32}{\pi^2} T \int_0^{\infty} dq \int dk kn_F(k) \int dp pn_F(p) \left(\frac{1}{(q-i\delta)^2 - 4k^2} + \frac{1}{(q+i\delta)^2 - 4p^2} \right) \left(\frac{1}{(q-i\delta)^2 - 4p^2} + \frac{1}{(q+i\delta)^2 - 4p^2} \right) \\ &= 4T \int_0^{\infty} n_F^2(x) dx = 4T^2 \left(\log 2 - \frac{1}{2} \right). \end{aligned} \quad (\text{A8})$$

We emphasize that the integral in the right-hand side of Eq. (A8) comes from an infinitesimally small region where $|k-p| \sim \delta$.

Combining the two contributions to X_3 , we obtain

$$X_3 = \left(\log 2 + \frac{1}{2} \right) \frac{2T^2}{3}. \quad (\text{A9})$$

Collecting Eqs. (A4), (A7), and (A9), we obtain

$$X = \frac{T^2}{3} \left[1 - 2 \log \frac{\Lambda_f}{\Lambda_b} \right]. \quad (\text{A10})$$

Equation (A10) coincides with Eq. (45).

APPENDIX B

In this appendix, we derive the result for $Y = T \sum_{\Omega} \int dq \Pi_{2k_F}^3(q, \Omega)$ to logarithmic accuracy. We assume that T is small, such that $T \ll \Lambda_b, \Lambda_f$ and that $\Lambda_b \ll \Lambda_f$, and collect terms logarithmic in T/Λ_f and in Λ_b/Λ_f . The computational steps are the same as in Appendix A: we use the fact that Π_{2k_F} given in Eq. (28b) is the sum of two terms and split Y into $Y_1 + Y_2 + Y_3 + Y_4$, where

$$Y_1 = T \sum_{\Omega} \int_0^{\Lambda_b} \frac{dq}{4\pi^3} \log^3 \frac{\Omega^2 + q^2}{4\Lambda_f^2}, \quad (\text{B1})$$

$$Y_2 = -\frac{6}{\pi^3} T \sum_{\Omega} \int_0^{\Lambda_b} dq \log^2 \frac{\Omega^2 + q^2}{4\Lambda_f^2} \int_0^{\infty} dk n_F(k) k \times \left(\frac{1}{(q - i\Omega)^2 - 4k^2} + \frac{1}{(q + i\Omega)^2 - 4k^2} \right), \quad (\text{B2})$$

$$Y_3 = +\frac{48}{\pi^3} T \sum_{\Omega} \int_0^{\Lambda_b} dq \log \frac{\Omega^2 + q^2}{4\Lambda_f^2} \times \left[\int_0^{\infty} dk n_F(k) k \left(\frac{1}{(q - i\Omega)^2 - 4k^2} + \frac{1}{(q + i\Omega)^2 - 4k^2} \right) \right]^2, \quad (\text{B3})$$

$$Y_4 = -\frac{128}{\pi^3} T \sum_{\Omega} \int_0^{\Lambda_b} dq \left[\int_0^{\infty} dx n_F(x) x \left(\frac{1}{(q - i\Omega)^2 - 4x^2} + \frac{1}{(q + i\Omega)^2 - 4x^2} \right) \right]^3. \quad (\text{B4})$$

One can easily make sure that the last term Y_4 is nonlogarithmic and can be neglected.

The momentum integral in Y_1 is infrared divergent. However, we only need the thermal part of Y_1 . To extract it, we subtract from the integrand in Y_1 its value at $\Omega=0$, i.e.,

$\log^3 \frac{q^2}{4\Lambda_f^2}$. This makes the momentum integral finite. Evaluating it and then performing the summation over frequency, we obtain

$$Y_1 = -\frac{T^2}{\pi} \left[\log^2 \frac{T}{2\Lambda_f} - 0.909 \log \frac{T}{\Lambda_f} + \dots \right]. \quad (\text{B5})$$

where dots stand for $O(T^2)$ terms. The number, 0.909, as well as other numbers below are expressed in terms of convergent 1D integrals.

In Y_2 , the cutoff in the integration over q_1 is relevant. Splitting the q integral from $\int_0^{\Lambda_b}$ into $\int_0^{\infty} - \int_{\Lambda_b}^{\infty}$ and evaluating each of the two terms separately in the same way as in Appendix A, we obtain

$$Y_2 = \frac{T^2}{\pi} \left[\log^2 \frac{T}{2\Lambda_f} - 3.295 \log \frac{T}{\Lambda_f} + \log^2 \frac{\Lambda_f}{\Lambda_b} - \log \frac{\Lambda_f}{\Lambda_b} \right]. \quad (\text{B6})$$

The result from Y_3 can be readily obtained from the expression for X_3 in Appendix A; as to logarithmic accuracy, we can replace $\log \frac{\Omega^2 + q^2}{4\Lambda_f^2}$ in Eq. (B3) by $2 \log(T/\Lambda_f)$. We then obtain

$$Y_3 = 2.386 \frac{T^2}{\pi} \log \frac{T}{\Lambda_f}. \quad (\text{B7})$$

Combining Eqs. (B5)–(B7), we obtain that all $\log(T/\Lambda_f)$ terms are cancelled out and

$$Y = \frac{T^2}{\pi} \left[\log^2 \frac{\Lambda_f}{\Lambda_b} - \log \frac{\Lambda_f}{\Lambda_b} \right]. \quad (\text{B8})$$

Equation (B8) coincides with Eq. (52).

Another backscattering diagram which could possibly give rise to logarithmic terms is diagram (3b) in Fig. 5. For a local interaction, it reduces to a cube of the Cooper bubble, which in one dimension coincides with Π_{2k_F} up to the overall sign. However, one can easily verify that for $\Lambda_b \ll \Lambda_f$, this diagram does not contain $\log(\Lambda_f/\Lambda_b)$ terms. Indeed, the cutoff induced by the interaction imposes the restriction on three out of four momenta and frequencies in the fermionic lines. The 2D integral over the remaining momentum and frequency involves all six fermionic propagators and is confined to the lower limit. This implies that all variables are of the same order, and there is no space for a logarithm.

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